



10-11-01

JC973 U.S. PTO  
09/974637

Box Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington D.C., 20231

FORM PTO-1082  
Case Docket No.: 72162-243315  
Date: October 9, 2001  
Express Mail Label No.: EL 724 025 933 US

Dear Sir:

Transmitted herewith for filing is the patent application of  
Inventor(s): ASHOK K. AGARWAL  
For: COMPOSITE NANOFILTRATION AND REVERSE OSMOSIS MEMBRANES  
AND METHOD FOR PRODUCING THE SAME

Enclosed are:

- Sheets(s) of drawings ( informal)  
 An assignment of the invention to PTI Advanced Filtration, Inc.  
 An associate power of attorney  
 A verified statement to establish small entity status under 37 CFR1.9 and 1.27.  
 Declaration and Power of Attorney.  
 Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §110.  
 IDS enclosed with 6 references and Form PTO-1449.  
 Preliminary Amendment.

CALCULATION OF FEES						
ITEM		NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	FEE
A	TOTAL CLAIMS FEE	21 -20*=	1	x \$9 or x \$18	\$ 18	
B	INDEPENDENT CLAIMS FEE**	2 -3*=	0	x\$42 or x 84	\$0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$18
D	MULTIPLE-DEPENDENT CLAIMS FEE					SMALL ENTITY FEE = \$135 LARGE ENTITY FEE = \$270
E	BASIC FEE*					SMALL ENTITY FEE = \$370 LARGE ENTITY FEE = \$740
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$758
G	ASSIGNMENT RECORDING FEE					\$40
**LIST INDEPENDENT CLAIMS 1 and 12						

- Please charge my Deposit Account No. \$   
the amount of   
 A check in the amount of \$758  
 A check in the amount of \$40
- A copy of this sheet is enclosed.  
to cover the filing fee is enclosed.  
to cover Assignment Recordation fee is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-3975. **A copy of this sheet is enclosed.**

- Any filing fees under 37 CFR 1.16 for the presentation of extra claims.  
 Any patent application processing fees under 37 CFR 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 03-3975.

- Any patent application processing fees under 37 CFR 1.17.  
 The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
 Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

  
Charanjit Brahma  
Reg. No. 47,574

Dated: October 9, 2001

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72162-243314

PATENT  
72162-243315

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
**ASHOK K. AGARWAL**  
Serial No.: UNASSIGNED  
Filed: OCTOBER 9, 2001  
For: COMPOSITE NANOFILTRATION AND REVERSE  
OSMOSIS MEMBRANES AND METHOD FOR  
PRODUCING THE SAME

Group No.: UNKNOWN

Examiner: UNKNOWN

**CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL**

"Express Mail" Mailing Label No. EL 724 025 933 US

Date of Deposit: October 9, 2001

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

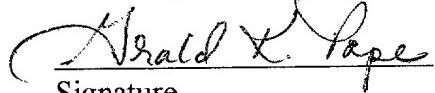
- Letter of transmittal
- Check in the amount of \$758 as filing fee.
- Patent application (10 pages of specification; 21 claims; 1 page of abstract)
- Declaration and Power of Attorney
- Executed assignment, with Recordation Cover Letter and check in amount of \$40
- Information Disclosure Statement with 6 references and Form PTO-1449
- Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

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Washington, D.C. 20231.

October 9, 2001  
Date of Deposit

Gerald K. Pope  
Name of person mailing papers

  
Signature